

Exchange Plaza, 'B' Wing, Illrd Floor, NSE Building, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051, India.

Tel.: 022-2202 1111. Fax: 022-22044466

## PERSONAL IDENTIFICATION NUMBER (PIN) APPLICATION FORM FOR INTERNET SERVICE ACCESS

Date		M			

Please complete this application form in case you wish to avail the Internet Services Access facility. Subject to your signing the agreement you will be given an individual Personal Identification Number (PIN) which will allow you to access the above mentioned facility. Please go through the terms and conditions mentioned below before signing the agreement.

Sole / 1st Holder Name	:			
2nd Holder Name	:			
3rd Holder Name	:			
Account Number	:			
E-mail ID	:			
Declaration				
I/We have read and unde andConditions and to any	Date			
	,			dd mm yyyy
Signature of 1st Ho	older	Signature of 2nd Holder	Signature of 3rd Holder	
For Registrars use of	only			
Investor Service	Centre	Date	Pin generation Date	Signature Verified

## **Terms & Condition**

- I/We agree and accept the services provided by Karvy Computershare Pvt. Ltd. (Registrar & Transfer agents of Principal Mutual Fund herein referred to as 'Registrar') and Principal Pnb Asset
  Management Company Pvt. Ltd. ('Principal') at my/our request to carry out Internet Service Access on my/our account and any other service from time to time and that my details as registered for
  my account, for which Email ID is provided, would also be applicable for Internet Service facility.
- 2. I/We am/are aware that in connection with such facilities, I/We am/are required to identify myself/ourselves to the Internet Services Access system using my/our PERSONAL IDENTIFICATION NUMBER (PIN), I/We further agree that my/our PIN is confidential in nature and use of the same is restricted/known to me/us only.
- 3. I/We undertake to report the loss of my/our PIN to Registrar immediately upon discovery of the loss. I/We also undertake to inform Registrar immediately if for any reason circumstances. I/We shall request a new PIN from Registrar.
- 4. I/We agree, in case of accounts opened in the names of minors, the legal guardian of the minor undertakes to give all instructions relating to the operation of the account and further undertakes not to reveal the PIN to the minor. I/We agree that if the PIN is revealed to the minor or any other person, then I/We shall be liable for any resulting/consequential loss.
- 5. I/We unconditionally agree that, I/We shall NOT hold the Registrar/Principal liable for:
  - · such transactions that are carried out on my/our Internet instructions and are believed as genuine by Registrar/Principal to have been done in good faith.
  - such transactions that are carried out on my/our Internet instructions which emanate from unauthorised individuals who have gained knowledge of my/our confidential PIN.
  - not carrying out any such instructions where the Registrar/Principal has reason to believe (which decision I/We shall not question or dispute) that the instructions are not genuine or are otherwise improper, unclear, vague or raise a doubt.
  - · accepting instructions given by any one of us in case of joint accounts.
  - · not verifying the identity of the person giving the Internet instructions in my/our name.
  - · for any loss or damage incurred or suffered by me/us due to any error, defect, failure or interruption in the provision of Internet services access arising from or caused by any reason whatsoever.
  - · if necessary, initiating adjustments to correct any errors in credit/payments/such entries or otherwise made
  - · withdrawing/suspending the facility wholly/partially without prior notice to me/us.
- 6. I/We agree and undertake to ensure that there would be sufficient balance in my/our folio from time to time, for effecting the transactions as directed over the phone, as and when applicable.
- '. I/We agree to indemnify the Registrar/Principal for all liabilities, losses, damages and expenses and which the Registrar/Principal may sustain or incur either directly or indirectly as a result of:
  - my/our negligence/mistake or misconduct or the negligence/mistake or misconduct of any of my/our employee or agents.
  - · my/our breach of agreement or non-compliance of the rules/terms and conditions relating to Internet Services Access.
  - fraud or dishonesty relating to any transaction by my/our employees or agents.
  - · providing me/us the Internet Transaction facility or by reason of Registrar in good faith taking or refusing to take or omitting to take action on my/our instruction(s).
  - the information contained in the faxed outputs or statements is/turns out to be inaccurate/incorrect.
  - non-compliance by the Registrar/Principal of my/our instructions due to inadequacy of units.
- 8. I/We authorise you to record our telephone calls, as and when applicable. Further, I/We agree that the record of the instructions given and transactions concluded over the Internet shall be conclusive proof and binding for all purposes and can be used as evidence in any proceedings.
- 9. I/We agree that the Registrar/Principal can terminate the Internet Services Access granted to me/us without prior notice on occurrence of any of the following events:
  - non-compliance of the terms and conditions set forth
  - non-compliance of any other agreement entered into with the Registrar/Principal
  - death, insolvency or bankruptcy of me/us
  - any other cause arising out of operation of law
  - closing of account.
- 10. I/We agree to give Registrar seven days' notice in writting and obtain a receipt thereof in order to terminate the Internet Transaction services availed by me/us.
- 11. I/We agree that
  - all transactions other than those which are executed instantaneously by the Internet services access system, that is those requiring processing by the Registrar will be carried out only during
    business hours and the value dates, if any, will follow the operating hours/days as per the Offer Documents of the respective Schemes.
  - Registrar is at liberty to withdraw at any time the Internet Services access facility, or any services provided thereunder, in respect of any or all the account(s) without assigning any reason whatsoever, without giving me/us any notice.
  - · Charges, if any, for the Internet services access facility will be the sole discretion of the Registrar/Principal who are at liberty to vary the same from time to time, without giving any notice.
  - In case of a dispute, the matter will be settled by the arbitration as per the rules of the Indian Arbitration and Conciliation Act, 1996. Further that the Managing Director of Principal or any other
    person nominated by him will be the sole arbitrator and that the place of arbitration will be Mumbai.
  - that the terms and conditions will be governed by Indian Laws and, the Courts at Mumbai shall alone have jurisdiction.